# THOMAS J. BREWER ARBITRATOR

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## <u>RESUME</u>

#### **Profession**:

Independent Arbitrator.

#### **Arbitration Experience:**

Arbitration experience includes both domestic and international commercial arbitrations, with over 350 cases as panel chair, neutral panelist arbitrator or sole arbitrator since becoming a full-time neutral in 2000. Substantial experience managing in-person hearings, virtual (e.g., Zoom) hearings, and applying various kinds of arbitration agreements, including agreements requiring international, domestic, "baseball" and other procedures. The cases have ranged from complex, multi-party business disputes with large amounts in controversy to a wide variety of other matters, of varying size, involving corporations, privately-held companies, LLC's, partnerships, joint ventures, governmental entities and individuals. Prior cases have included a wide range of business and commercial disputes, principally in the following areas:

**BUSINESS AND COMMERCIAL:** Served as an arbitrator in many cases involving interpretation or enforcement of commercial contracts, including disputes related to LLC's, partnerships, joint venture and joint operating agreements; sales of business/asset purchases and post-closing disputes; corporate governance and disputes between business co-owners; product sales, longterm supply contracts, product development, licensing, distribution and warranty disputes; insurance; international trade; commercial real estate; commercial construction; and many other kinds of business agreements and transactions. The cases have involved a wide variety of industries and businesses, including aerospace, airline, apparel, distribution of beer, wine and alcoholic spirits, coal, commercial health care, commercial real estate, construction, dental and medical equipment sales and distribution, electric power, environmental, forest products, Indian gaming, investment and financial firms, LNG, manufacturing, mining, oil and gas, pharmaceutical, professional sports, railroad, satellite, seafood, soft drink bottling, software, steel, technology and telecommunications. Member of the ICDR's International Roster of arbitrators, the AAA's Commercial, Construction and Large, Complex Case panels, and of the CPR Institute's Cross-Border, National Panel of Distinguished Neutrals and Commercial Real Estate panels of arbitrators. Examples of specific prior cases include:

- A domestic arbitration between a professional athlete and a manufacturer of athletic clothing and footwear arising out of an international licensing and promotional agreement (Panel Chair).
- A nine-figure dispute concerning sales of carbon credits (Panel Chair).
- An international arbitration between a steel producer and a supplier over issues arising from a coke supply contract (Panel Chair).
- A dispute between a financial advisory firm and a withdrawing founding member over amounts to the departing member under the firm's Operating Agreement (Neutral Panelist).
- A dispute between a Class I railroad and eleven short lines over interpretation of the rate provisions in their freight operating agreements (Neutral Panelist).
- An international arbitration between British, Israeli and U.S. investors in a commercial real estate project in Nevada related to alleged breaches of the parties' development agreements, fiduciary duties and capital call obligations (Neutral Panelist).
- An international arbitration between U.S. and Korean parties alleging breaches of long-term international supply contracts for zinc concentrates (Panel Chair).
- A dispute between a private investment firm and several of the firm's portfolio managers over interpretation of contractual provisions governing holdback accounts (Neutral Panelist).
- An international arbitration involving disputes arising under a professional sports-related sponsorship and license agreement between a U.S. company and the federation governing soccer in a Latin American country (Neutral Panelist).
- A multi-party domestic arbitration involving claims of wrongful termination of an exclusive distribution agreement in the soft drink bottling industry (Panel Chair).
- An international arbitration involving disputes concerning the interpretation and effect of the *force majeure* provisions in a casino licensing agreement following the covid pandemic (Sole Arbitrator).
- An arbitration convened pursuant to RCW 19.126.040 to determine the fair market value of distribution rights for certain spirits brands following a termination of those rights (Sole Arbitrator).
- An international arbitration between U.S. and French parties following a fire at a Texas fuel storage facility concerning interpretation of a *force majeure* clause (Neutral Panelist).
- A construction arbitration related to the structural steel subcontract for construction of a sports stadium at a U.S. university (Neutral Panelist).
- A commercial real estate arbitration involving decennial rent re-set appraisal and valuation issues related to the biotech campus buildings at a U.S. medical school (Neutral Panelist).
- An indemnification and duty-to-defend dispute arising under California law (Sole Arbitrator).
- A dispute between a national retailer and a company providing "last mile" local delivery services (Panel Chair).
- Additional examples available at <u>www.tjbrewer.com.</u>

**ENERGY, OIL & GAS, ELECTRIC POWER:** Oil and gas arbitrations have included participation agreement, area of mutual interest, marketing and trading, pipeline, refinery, natural gas gathering, treating, processing, transport and sales, contract interpretation, royalty, production curtailment, joint venture, petrochemical, sale of business and valuation disputes. Electricity arbitrations have included numerous cases related to power purchase agreements, tolling, transmission, joint operating, co-generation, PURPA, ISO/RTO, contract interpretation, wind, solar and photovoltaic projects, biomass, steam supply, project development and other types of disputes and agreements. Member of the AAA's National Energy panel, the ICDR's international Energy Arbitrators List (EAL), and of the CPR Institute's Energy, Oil & Gas panel of arbitrators. Examples or prior cases include:

- A nine-figure international arbitration between co-owners of a 100,000 barrel/day Texas
  oil and gas refinery and related trading entity arising out of a corporate governance
  dispute, resulting exercise of one owner's "put" rights and valuation of the refinery (Panel
  Chair).
- A domestic energy arbitration between a U.S. State and unit holders at a large U.S. oil field involving claims for royalty income damages arising out of production curtailments and pipeline replacements (Panel Chair).
- An international construction arbitration arising out of a BOP Agreement for construction of a large windfarm (306 MW/85 turbines) project in Mexico (Neutral Panelist).
- An energy arbitration over interpretation of the price term in a long-term gas purchase contract (Panel Chair).
- A domestic energy arbitration between a California city and the owner of a 134 MW natural gas-fired power plant involving disputes arising under a power purchase/tolling agreement and under a related interconnection and transmission services agreement (Sole Arbitrator).
- An international (ICDR) energy arbitration between a Canadian governmental entity and a private hydroelectric power generator relating to alleged breaches of a long-term electric power supply contract (Sole Arbitrator).
- A "baseball" arbitration between the lessor and lessee of an underground gas storage facility arising out of a contractually-required re-evaluation of the lease rates due under the facility's lease following the first ten years of operations (Sole Arbitrator).
- An international (UNCITRAL rules) arbitration involving a dispute over interpretation and application of a *force majeure* clause in a long-term LNG take-or-pay supply contract (Neutral Panelist).
- An arbitration between parties to an electric power tolling agreement involving claims for recovery of winter reliability penalties imposed by the New England ISO and counterclaims alleging miscalculation of availability charges (Panel Chair).
- A domestic energy arbitration involving alleged breaches of a long-term gas purchase agreement due to alleged mismeasurement and inaccurate computation of the seller's gas volumes and heating constituents (Neutral Panelist).
- An energy arbitration between an RTO and a transmission customer over alleged breaches of a service agreement (Panel Chair).

- A domestic energy arbitration between parties to an oil and gas participation agreement over interpretation and implementation of an "area of mutual interest" provision (Neutral Panelist).
- An energy arbitration involving alleged breaches of an agreement providing for development and financing of solar electric power projects for a California city (Neutral Panelist).
- A "baseball" arbitration between a natural gas, geothermal and electric power generator and an electric cooperative over alleged breaches of a long-term power purchase agreement following a catastrophic winter storm in Texas (Panel Chair).
- A wind energy dispute between the owner of a 5,000+ acre wind power generation project and a large public utility over alleged curtailment losses arising under a long-term power purchase agreement (Panel Chair).
- A domestic arbitration between a U.S. State and a major oil company to determine the value of the company's Alaska North Slope crude oil production landed on the U.S. West Coast during a specified time period for computation-of-royalties purposes (Neutral Panelist).
- A domestic AAA arbitration between a Florida city and a privately-owned operator involving alleged breaches of contracts relating to operations at a 100+ megawatt biomass-powered electric power facility (Sole Arbitrator).
- A domestic energy arbitration between a coal-fired electric power generating plant and a utility over interpretation of the pricing provisions in a long-term (30 year) power purchase agreement (Panel Chair).
- A arbitration between a turbine manufacturer and a company owning natural gas pipelines involving breach of warranty claims relating to the turbines (Panel Chair).
- Additional examples available at www.tjbrewer.com.

**TECHNOLOGY/INTELLECTUAL PROPERTY:** IP and technology arbitrations have included patent, royalty, product development and licensing disputes related to semiconductor, pharmaceutical, medical product, vaccine, telecom and other technologies, trade secret misappropriation and Lanham Act disputes, copyright, software and business acquisition-related IP disputes. Member of the AAA's Intellectual Property and of the CPR Institute's Health Care and Life Sciences panels of arbitrators. Member of the Silicon Valley Arbitration & Mediation Center's Tech List of leading arbitrators and mediators in the technology sector. Examples include:

- A domestic patent licensing and product development dispute relating to development of a vaccine (Panel Chair).
- An international arbitration between Japanese and US parties involving disputes over interpretation of a long-term sales contract for technology products used in the aviation industry (Panel Chair).
- An international arbitration arising out of a software and cloud services development agreement (Sole Arbitrator).
- A trade secret arbitration involving alleged misappropriation of a hedge fund's financial modelling technologies by two former employees who started a competing fund (Neutral Panelist).

- An international arbitration between a European manufacturer of dental handpieces, turbines, sterilizers and other dental instruments and US and Australian parties over alleged wrongful termination of an exclusive distribution agreement and misappropriation of trade secrets (Neutral Panelist).
- An international biotech arbitration between US and Swiss parties related to alleged breaches of a licensing agreement covering certain genotypes sold for use in a test kit for human papillomavirus (Neutral Panelist).
- An international arbitration between U.S. and Dutch parties of a trade secret, breach of contract and RICO dispute between competing manufacturers of an environmental remediation product (Neutral Panelist).
- An international pharmaceutical licensing dispute between U.S. and Canadian parties (Panel Chair).
- A domestic arbitration involving licensing and royalty disputes relating to "winglets" used on certain commercial airliners (Neutral Panelist).
- An antitrust dispute between a large U.S. national retailer and a Japanese manufacturer
  of flat screen technology products relating to damages allegedly caused by a horizontal
  price-fixing conspiracy (Sole Arbitrator).
- An international arbitration involving a pharmaceutical licensing dispute between U.S. and Indian parties (Panel Chair).
- An AAA arbitration between a software development firm and a company owning natural
  gas pipelines arising out of a contract for development of a customized software
  management program (Neutral Panelist).
- An international arbitration between a U.S. seller and a Japanese buyer over alleged breaches of an asset purchase agreement for a nanocrystal technology business (Sole Arbitrator).
- A patent licensing dispute related to distribution in Brazil of certain agricultural seed products containing patented insect-resistant transgenically modified traits (Panel Chair).
- An international arbitration related to patent licensing and product development agreements for technologies used in e-readers (Panel Chair).
- Additional examples available at <u>www.tjbrewer.com</u>.

<u>LLC, M&A and JOINT VENTURES</u>: Substantial experience in arbitrations involving disputes between investors and business co-owners, including LLC members, M&A, joint operating and joint venture agreements, asset purchase, representations and warranties, post-closing purchase price and escrow adjustments, earnout provisions, tax-related acquisition disputes and non-competition disputes. Member of the AAA's M&A and Joint Ventures panel of arbitrators. Examples include:

- An international M & A arbitration between European and U.S. parties arising out of the sale of a software company involving alleged breaches of representations and warranties given by the sellers related to balance sheet and tax liabilities (Neutral Panelist).
- An accounting-related dispute between members of an LLC that operated a gold mine in Alaska (Neutral Panelist).

- A dispute between a national retailer and a grower over alleged breaches of a long-term agricultural supply agreement providing for delivery of custom-grown agricultural products produced at a dedicated facility constructed and operated in part with the assistance of a loan provided by the buyer/retailer (Sole Arbitrator).
- A dispute between a financial advisory firm and a withdrawing founding member over amounts due to the departing member under the firm's Operating Agreement (Neutral Panelist).
- An arbitration between owners of an East Coast marine fuel terminal involving claims of breach of fiduciary duties by the LLC's Managing Member (Panel Chair).
- An international arbitration between a US software company and its Saudi Arabian joint venture partner (Panel Chair).
- An arbitration between two telecommunications companies arising out of an asset sale (Sole Arbitrator).
- Additional examples available at www.tjbrewer.com.

<u>OTHER</u>: Arbitration experience also includes numerous construction cases, ERISA multiemployer pension plan withdrawal liability disputes, insurance coverage disputes, commercial healthcare payor-provider disputes, service as an ICDR Article 37 emergency arbitrator, and many other types of commercial cases. Member of the AAA's National Healthcare Roster of arbitrators, and of the AAA/ICDR's Aviation, Aerospace and National Security panel of arbitrators to handle high-value defense, cyber and security-related disputes in the aerospace, aviation and national security sectors. Substantial prior experience in arbitrations involving aviation, aerospace, software, railroad and government-related disputes. Examples include:

- A dispute related to interpretation of a contract providing for resale of satellite bandwidth intended for a military end-use application (Sole Arbitrator).
- An international arbitration between a U.S. aircraft manufacturer and a European customer involving breach of warranty claims (Panel Chair).
- An international arbitration between Israeli and Chinese parties over licensing and development for civilian applications of a technology product originally developed for military applications by the Israeli Air Force (Neutral Panelist).
- An international arbitration relating to interpretation of the royalty provision in a software licensing agreement covering a GPS product sold to the commercial aviation industry (Neutral Panelist).
- An international arbitration between US and Canadian railroads to set fair-market rental car-hire rates for use of a fleet of 73-foot centerbeam flatcars (Sole Arbitrator).
- A domestic arbitration between an airline providing charter aircraft services and a logistics services provider over alleged wrongful termination of an aircraft services contract for cargo transport aircraft (Sole Arbitrator).
- An international arbitration between a U.S. airline and three European airlines over interpretation of revenue sharing agreements following a merger involving one of the original contracting parties (Neutral Panelist).

- A dispute between a provider of pharmacy benefit management services and a Medicare Part D plan sponsor involving claims of alleged breaches of a provider agreement (Panel Chair).
- A dispute between a hospital system providing emergency healthcare services and a health insurer over alleged breaches of a Healthcare Services Agreement (Panel Chair).
- An AAA construction arbitration related to the structural steel subcontract for construction of a sports stadium at a U.S. university (Neutral Panelist).
- An AAA construction arbitration relating to renovation of a ferry terminal (Panel Chair).
- An AAA construction arbitration (three week hearing) related to a commercial real estate
  development project that included condominiums, apartments, retail businesses and
  shops, restaurants, a cinema, parking structures and various other features (Sole
  Arbitrator).
- An international (ICDR) *force majeure* dispute between an Oregon seller and a buyer located in Pakistan concerning an ocean shipment of steel (Sole Arbitrator).
- Additional examples available at <u>www.tjbrewer.com</u>.

# **Education**:

Dartmouth College (B.A., Government, magna cum laude, 1968); Oxford University (B.A., Jurisprudence, First Class Honours, Wronker and Jurisprudence Prizes, Rhodes Scholar, 1973); Harvard Law School (J.D., magna cum laude, law review, 1975).

## **Awards and Honors:**

Fellow, College of Commercial Arbitrators. Fellow, Chartered Institute of Arbitrators (FCIArb). Listed in *Who's Who Legal: Arbitration*, 2024 and prior years (*WWL* 2022 comments, based on peer interviews: "He is truly talented and insightful. . . a superior arbitrator, one of the finest with whom I have had the pleasure to sit. . . very experienced, very fair minded. . . an exceptional arbitrator.") Listed in *Best Lawyers in America* for arbitration, 2025 and prior years. Ranked in Chambers USA and Chambers Global in the category of International Arbitration. Listed in *Washington Super Lawyers*, 2003-2024. Selected by *Best Lawyers* as Lawyer of the Year for arbitration in Seattle in 2015, 2017, 2019 and again for 2024.

#### **Work History**:

Self-employed full-time ADR neutral since 2000. Civil trial lawyer representing and counselling clients in commercial disputes 1975-2000. Partner, Wickwire Greene Crosby Brewer & Seward, 1994-2000; Partner (1981-94) and Associate (1975-81) Heller Ehrman White & McAuliffe, (including predecessor firm acquired by merger). Experience serving as an arbitrator, courtappointed special master, and mediator in commercial cases since 1986. Heavy arbitration caseload since about 1994.

# **Publications and Speaking Engagements:**

Speaker, College of Commercial Arbitrators, 23rd Annual Meeting, "Learning from One Another: Arbitrators Talking Shop Together," October, 2023; Speaker, JURIS/College of Commercial Arbitrators Webinar, "Thoughts on Conducting an Effective and Successful Arbitration Hearing," May 2023; Speaker, 2017 National Energy Arbitration Conference: "Resolving Energy Arbitrations in Times of Crisis," CIArb, Houston; Contributing Author, THE COLLEGE OF COMMERCIAL ARBITRATORS GUIDE TO BEST PRACTICES IN COMMERCIAL ARBITRATION, 4<sup>th</sup> ed., Juris Net 2017 (and to the three prior editions); Speaker, 2016 AAA/ICDR National Panel Conference, "Red Flags and Risk Areas for Arbitrators: A Review of Recent Cases Challenging Arbitrator Authority," New Orleans, 2016; Speaker, AAA Seminar: "Arbitration Advocacy for Courtroom Lawyers: Two Experienced Arbitrators Discuss What Works, and What Doesn't, in Arbitration," Denver, 2015; Contributing Author, THE LEADING PRACTITIONERS' GUIDE TO INTERNATIONAL OIL & GAS ARBITRATIONS, (Juris, Gaitis ed., 2015); (partial Listing.)

# **Additional Information:**

Additional information, and a printable CV, are available at www.tjbrewer.com.

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